



**Brewin
Dolphin**

SUSTAINABLE INVESTING ASSESSMENT

At RBC Brewin Dolphin we believe in the merits of Sustainable Investing and we aim to understand any sustainable investment requirements you may have. In this section, we will ask about your Sustainable Investing preferences, which we shall then take into consideration when advising you. It is important to note that Sustainable Investing is an evolving area and it may not be possible to meet all your requirements.

Sustainable Investing, also called socially responsible investing or ESG investing, is a means of investing in which an investor strongly considers environmental, social, and corporate governance (ESG) factors before investing. The goal is to, whenever possible, ensure their

CLIENT CONTACT DETAILS

Please use black or blue ink and print in capitals throughout this form

Title

First name

Surname

Year of birth

Postcode

Please complete in advance of the meeting and return in the prepaid envelope provided

SUSTAINABILITY ASSESSMENT Please mark boxes with an 'X'

On a scale of 1 to 5, where 1 is 'Strongly disagree' and 5 is 'Strongly agree', please indicate your feelings about the following statements.

1	2	3	4	5
Strongly disagree				Strongly agree

1 I would accept a lower financial return if an investment had social or environmental benefits.

2 Sustainable investing seems complex.

3 I would like to invest in organisations that actively pursue sustainability.

4 I would like to proactively seek investments in organizations that adopt progressive environment, social or governance practices

5 I wouldn't mind locking up portions of my wealth for long periods if an investment had social or environmental benefits.

6 I would be willing to take greater financial risk if an investment had social or environmental benefits.

7 I don't know enough about sustainable investing.

The information given in this form will be handled in accordance with the provisions of the General Data Protection Regulation.

Please return your completed questionnaire as soon as possible using the prepaid envelope.

